



# Rules for Participation and Dissemination and Model Grant Agreement

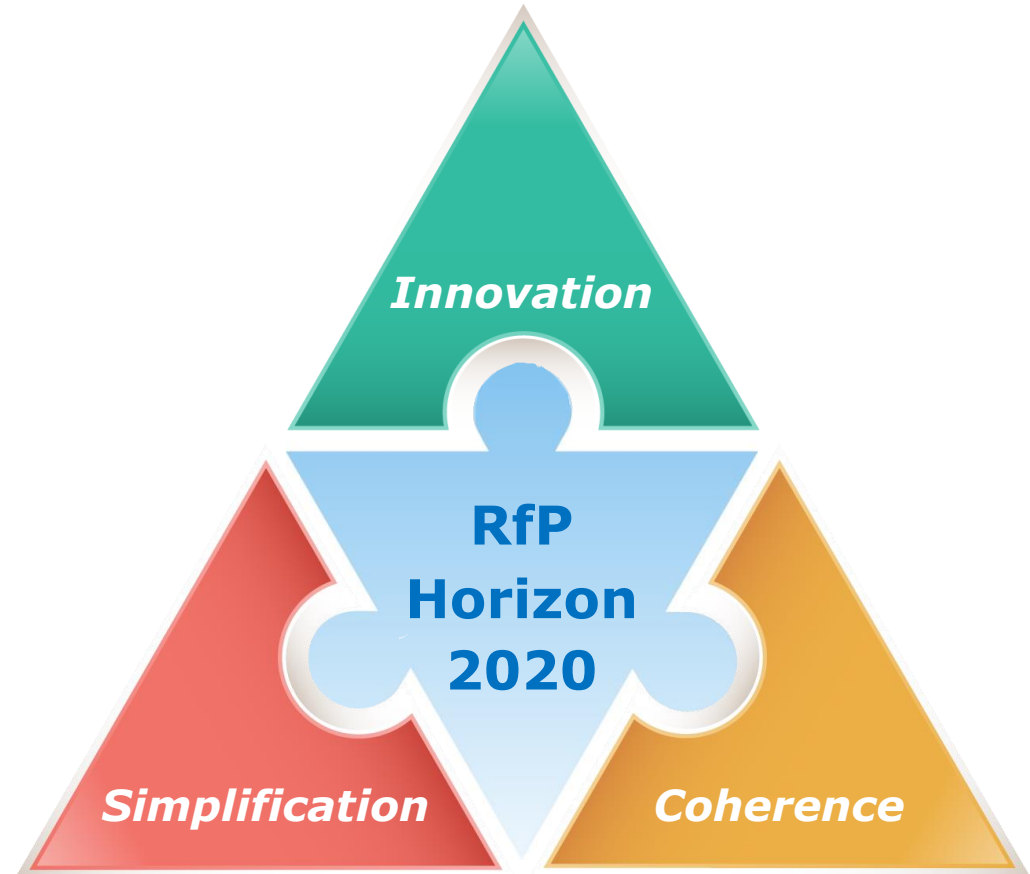
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# I. Rules for participation: Three main objectives

**Innovation,  
Simplification  
and Coherence**





European  
Commission

# A single set of rules



**EU Financial  
Regulation**  
**Specific rules  
for participation**

- Covering all H2020 research and innovation actions
- Keeping flexibility where needed.

# Conditions for Participation

## Minimum conditions

### ✓ **Standard collaborative actions**

At least three legal entities each established in a different Member State or an Associated Country;

### ✓ **ERC, SME instrument, programme co-fund, coordination and support, training and mobility actions:**

One legal entity established in a Member State or in an Associated Country.

## Additional Conditions

### ✓ **In the work programme or work plan.**

# International Cooperation - I

## Participation

**Open for all legal entities established in third countries and for international organisations.**

**Restrictions only possible if introduced in the work programme.**

- ✓ **For reciprocity reasons;**
- ✓ **For security reasons.**





# Time to grant: speeding up the process - I

## A maximum TTG of 8 months

**5 months**  
for informing all applicants  
on scientific evaluation

**8 months**  
for signature of GA

# Time to grant: speeding up the process - II

## How to speed up the process

- ✓ **No more negotiations:**  
each proposal evaluated 'as it is',  
not as 'what could be';
- ✓ **Legal entity validated in parallel.**
- ✓ **No more paper:**  
e-communication & e-signature of grants.



The screenshot shows the top part of the European Commission's Research & Innovation Participant Portal. It includes the European Commission logo, the text 'RESEARCH & INNOVATION Participant Portal', and a navigation menu with links for HOME, FUNDING OPPORTUNITIES, HOW TO PARTICIPATE, EXPERTS, and SUPPORT. There are also links for LOGIN and REGISTER. The main content area features a welcome message and two columns of information: 'About the Participant Portal' and 'Using the Participant Portal:'. The 'Using the Participant Portal' section is divided into 'As a guest user' and 'As a registered user'.

(A-Z) Sitemap About this site Contact Legal Notice English

RESEARCH & INNOVATION  
Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME FUNDING OPPORTUNITIES HOW TO PARTICIPATE EXPERTS SUPPORT

LOGIN REGISTER

### Welcome to the Research and Innovation Participant Portal

**About the Participant Portal:**

The Participant Portal is your entry point for the electronic administration of EU-funded research and innovation projects. It hosts services for managing proposals and projects throughout their lifecycle.

The Participant Portal supports activities funded mainly by the following EU programmes:

- 7th Framework Programme for Research and Technological Development (FP7)
- Competitiveness and Innovation Framework Programme (CIP)

**Using the Participant Portal:**

**As a guest user**, browse the public pages to:

- search for funding opportunities
- download guidance and legal documents
- search for the participant identification code (PIC) of an organisation
- contact the FP7 support services and browse the FAQ for guidance on the Participant Portal tools

**As a registered user**, benefit from personalised services for proposal submission, negotiation, and project management.

WHAT'S NEW FUNDING OPPORTUNITIES HOW TO PARTICIPATE? WORK AS AN EXPERT ACCESS MY PERSONAL AREA INFORMATION AND SUPPORT





# **Type of actions available in 2017 call: Grants**

- ✓ **Collaborative Research and Innovation actions**
- ✓ **Coordination and Support actions.**
- ✓ **ERA-NET co-fund**

# Type of actions available in 2017 call: Specific instruments targeting innovation

- ✓ **Public procurement of Innovative solutions**
- ✓ **Inducement prize: The Birth Day Prize**
- ✓ **SME Instrument**
- ✓ **Financial instrument: InnovFin Infectious Diseases Pilot centralised management structure**



# Grant amount calculation

## Step 1 — Application of reimbursement rates to eligible costs

Total approved eligible costs (*actual costs, unit costs, flat-rate and lump sum costs, if any*) X reimbursement rate (*100 % for research actions*)

## Step 2 — Limit to the maximum grant amount

The grant amount obtained in Step 1 is capped at the maximum grant amount set out in the GA

The grant amount following Steps 1 and 2 is the lower of the two amounts

## Step 3 — Reduction due to the no-profit rule

Profit of the action = Grant amount obtained in Step 2 + Receipts – Total eligible costs of the action

If Profit > 0 → grant amount obtained in Step 2 is reduced

If Profit < or = 0 → grant amount obtained in Step 2 is not reduced

## Step 4 — Reduction due to improper implementation or breach of other obligations under the GA at the payment of the balance

**Final grant amount** is the lower of the following two amounts:

Amount obtained following Steps 1 to 3 ⇔ Amount obtained in Step 4

# Forms of costs

## Actual costs

- **Costs actually incurred, identifiable and verifiable, recorded in the accounts, etc.**

## Unit costs

- **A fixed amount per unit determined by the Commission. Examples: SME owners' unit cost /clinical trials if in the proposal.**
- **For average personnel cost (based on the usual accounting practices)**

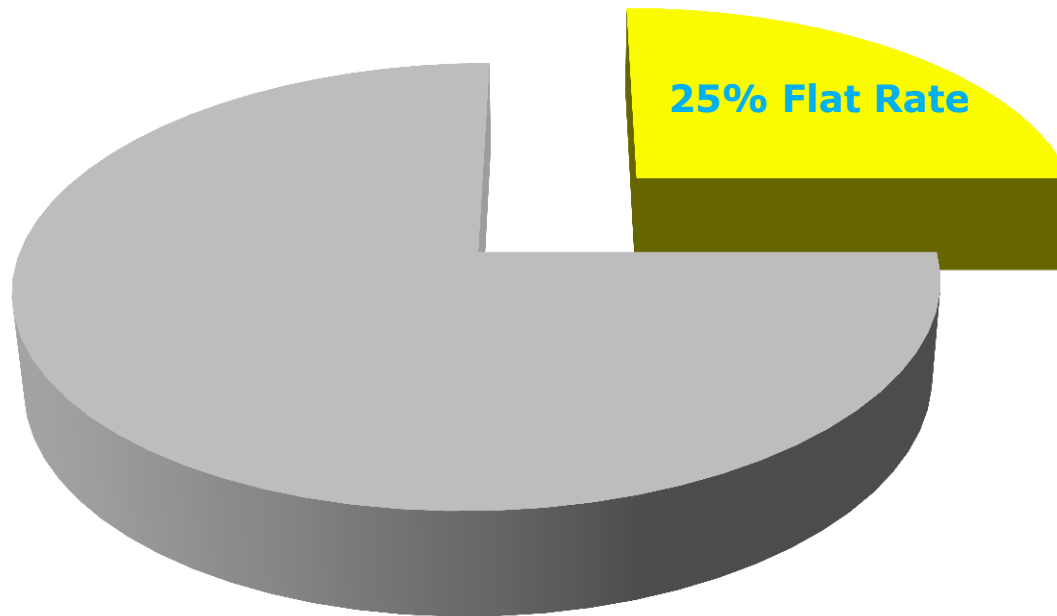
## Flat rate

- **A percentage to be calculated on the eligible costs (indirect costs 25% of direct costs)**

# Grants Single **FLAT RATE** for indirect costs

Simplifying project management and removing recurrent errors

**H2020: Single model 25% Flat rate  
for indirect costs**



## Reimbursement date

**One action  
(*project*) = One  
rate**

- For all beneficiaries and activities in the grant.
- Defined in the Work Programme

Up to 100 % of the  
eligible costs for  
**Research and innovation  
actions**  
**Coordination and support  
actions**

# Controls and Audits

**Financial viability** → Restricted to coordinators for projects  $\geq$  €500 k€

**CFS**  
[Certificate for  
Financial Statements]

- Only for final payments/per beneficiary /for actual costs  $\geq$  €325 000 €
- Optional Certificates on average personnel costs

**Ex-post audits**

- Provisions in **Horizon 2020 Regulation!**
- Commission's audits up to two years after payment of the balance
- Audit strategy focused on risk and fraud prevention

**Extrapolation**

- New Financial Regulation will apply

**Guarantee Fund**

- Continuity with FP7

## II. Grant Agreement



- ✓ A single document with all provisions
- ✓ Terminology: terms and meanings defined in the Financial Regulation except for those terms specific to H2020
- ✓ On-line explanations for each part of the grant
- ✓ Simplified wording revised by a specialised service of the Commission



# Electronic Communication for the management of the Grant Agreement

## Electronic signature

- *Of the Grant Agreement*
- *Of the Amendments*
- *Financial Statements and Technical reports*

## Communication between the Commission and the beneficiaries

- *Through the Participant Portal*
- *Electronic-only submission of reports as a general rule*



European  
Commission

# PARTICIPANT PORTAL

## Unique entry point

<http://ec.europa.eu/research/participants/portal>

Information available on the **participant portal** includes **all you have to know** about our programmes and about submitting a proposal

The screenshot shows the 'Participant Portal' for 'RESEARCH & INNOVATION'. The page features a navigation menu with 'HOME', 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE', 'EXPERTS', and 'SUPPORT'. A search bar and 'LOGIN'/'REGISTER' buttons are also present. The main content area is titled 'Horizon 2020 Funding' and includes a list of EU programmes and user guides for both non-registered and registered users. A row of six icons at the bottom provides quick access to 'WHAT'S NEW?', 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE', 'WORK AS AN EXPERT', 'MY PERSONAL AREA', and 'INFORMATION AND SUPPORT'. The footer contains the text '© European Communities'.





**Thank you for your attention!**