



The EC FP7 Post-Grant Open Access Pilot 6 Months into the Project

Pablo de Castro, Open Access Project Officer, LIBER – Ligue des bibliothèques européennes de recherche



What is this FP7 Post-Grant Pilot?

- The EC FP7 Post-Grant Open Access Pilot will provide funding to *cover the OA publishing fees for publications arising from completed FP7 projects*
- 4 million euros are made available by the EC to fund the OA “post-grant” publications of *over 8,000 completed FP7 projects*
- The Pilot will last for a *maximum of two years* (i.e. until Apr 30th, 2017) or until its budget is exhausted



Requirements for Eligibility

- The FP7 project *should have finished* by the time the funding is requested, but *no longer than two years ago*;
- *A maximum of three publications* will be funded per eligible FP7 project as a means to ensure a fair distribution of the funding across projects;
- Publications eligible for funding *must be peer-reviewed*;
- Funding requests must be submitted *once the publication has been accepted*;
- **Publications submitted to *hybrid journals will not be funded***, but only those accepted at fully Open Access journals;
- **Funding caps of €2,000 for research articles and €6,000 for monographs** apply for this Pilot;
- The final version of the funded output *must be deposited* in an OpenAIRE-compliant Open Access repository.

Update in Eligibility Time-Window



FP7 post-grant Open Access Pilot

OpenAIRE

[HOME](#) [SUPPORT](#) [CONTACT US](#)

Requesting funds for post-grant FP7 publications?

WARNING - The 2-year FP7 project eligibility time-window for this funding initiative will be updated as of Jan 1st, 2016, which means that all FP7 projects finished during 2013 will cease to be eligible for funding on that date. If you are involved in any of such projects, please make sure that the manuscript acceptance date for your funding request is no later than Dec 31st, 2015. Thanks.

Use this service to request and monitor funding for Open Access publishing fees as described in the [EC FP7 post-grant Open Access Pilot](#).

- **Researchers:** request funding through a guided process
- **Project Coordinators:** see funding opportunities and monitor project results
- **Institutions:** claim funding on behalf of your researchers, monitor your institution's FP7 post-grant traffic
- **Publishers:** deliver information on APCs, manage invoices, monitor journal FP7 post-grant traffic

Is your project eligible?

Type your FP7 acronym/granted or project name to see if you can request funding for your publication



If you cannot find your project in our database, do not hesitate to [contact us](#)

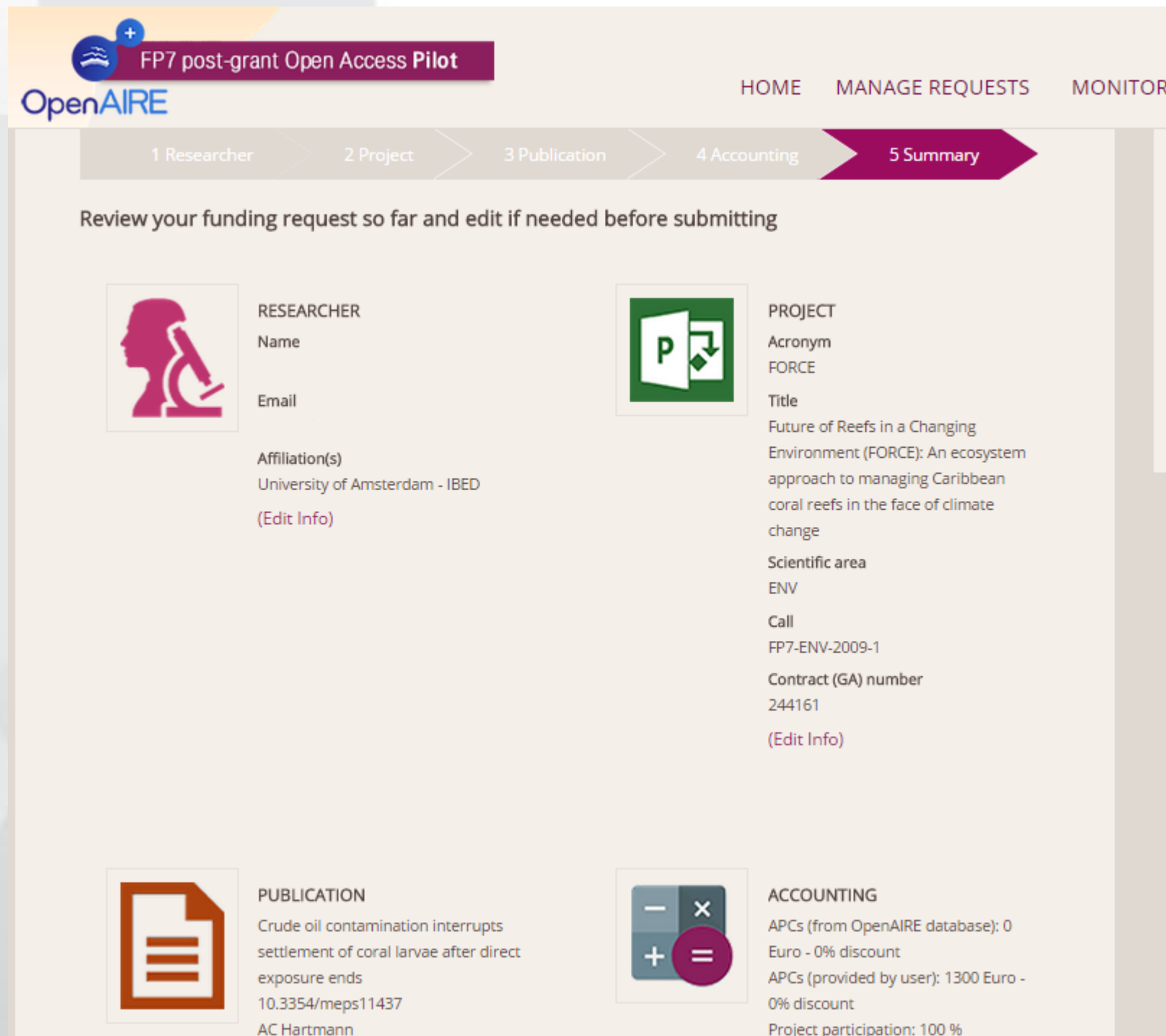
[SIGN IN](#)

[New User? Start here](#)



[View eligible projects by organization...](#)

Applying for Funding: the System



FP7 post-grant Open Access Pilot

OpenAIRE

HOME MANAGE REQUESTS MONITOR

1 Researcher 2 Project 3 Publication 4 Accounting 5 Summary

Review your funding request so far and edit if needed before submitting

RESEARCHER	PROJECT	PUBLICATION	ACCOUNTING
<p>Name</p> <p>Email</p> <p>Affiliation(s) University of Amsterdam - IBED</p> <p>(Edit Info)</p>	<p>Acronym FORCE</p> <p>Title Future of Reefs in a Changing Environment (FORCE): An ecosystem approach to managing Caribbean coral reefs in the face of climate change</p> <p>Scientific area ENV</p> <p>Call FP7-ENV-2009-1</p> <p>Contract (GA) number 244161</p> <p>(Edit Info)</p>	<p>Crude oil contamination interrupts settlement of coral larvae after direct exposure ends</p> <p>10.3354/meps11437</p> <p>AC Hartmann</p>	<p>APCs (from OpenAIRE database): 0 Euro - 0% discount</p> <p>APCs (provided by user): 1300 Euro - 0% discount</p> <p>Project participation: 100 %</p>

System requests information on:

- Researcher (at registration time)
- FP7 project
- Publication (article/journal/APC)
- Accounting (invoicing)

An important recommendation:

Please submit your request upon completion of the publication data *without waiting for an invoice to arrive*



Outcomes: Granted Funding Requests

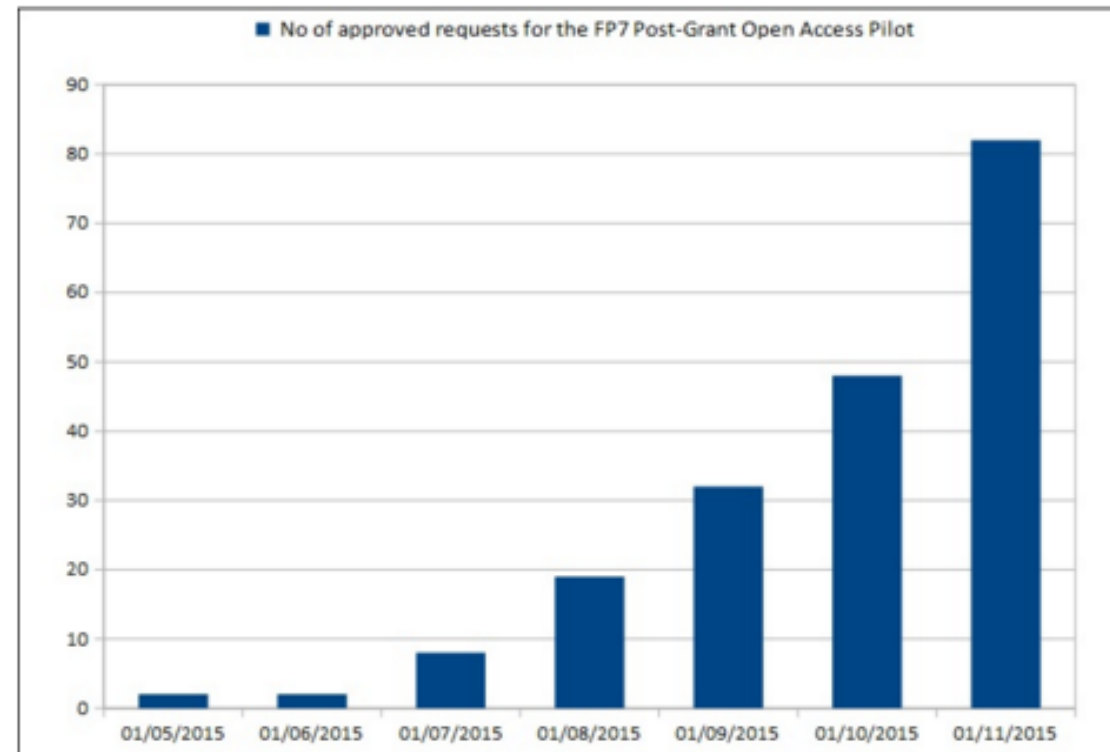


100th granted funding request from the EC FP7 Post-Grant Open Access Pilot



As of Nov 12th, i.e. roughly six months into the EC FP7 Post-Grant Open Access Pilot, the hundredth funding request has been granted by this funding initiative to a manuscript submitted by a researcher in chemistry at The Hebrew University in Jerusalem and accepted for publication at NPG/Macmillan's 'Scientific Reports'.

It has taken a quarter of its 2-years running time for the project to collect its first 100 accepted funding requests, but the number of accepted requests is now steadily growing, especially since a letter with information about the initiative was sent early October from the Directorate-General for Communication Networks, Content and Technology to every FP7 project coordinator. Scientific Reports, the NPG Open Access journal with an €1,165 APC fee is in fact the journal which funding is most frequently being applied for, closely followed by PLoS ONE.



<https://www.openaire.eu/100th-granted-funding-request-from-the-ec-fp7-post-grant-open-access-pilot>



Letter to PCs from DG CONNECT



EUROPEAN COMMISSION

Directorate-General for Communications Networks, Content and Technology

Excellence in Science
The Director

Brussels, **06 OCT. 2015**
CONNECT/C1/JS/ph
cnect.ddg1.c.1(2015) 4556850

Sent by e-mail only

Subject: Letter to coordinators on the FP7 post-grant open access publishing funds pilot

Dear Coordinator,

This letter is to inform you that the European Commission has recently launched a **pilot action to fund open access peer-reviewed publications from finalized FP7 projects. This initiative is implemented by the project OpenAIRE2020.**



Granted Funding Requests by Country

104 granted funding requests as of Nov 17th (up from 52 as of Sep 30th)

Country	Approved funding requests
ES	23 (+11)
UK	20 (+4)
IT	9 (+6)
CH	8 (+4)
DE	8 (+3)
NL	5 (+4)
FR	4 (+4)
BE	3 (+3)
SE	3 (+2)
GR	3 (+2)
DK	3 (+1)
IL	2 (+2)
PT	2 (+1)
NO	2 (+1)
HU	2 (0)
TR	1 (+1)
CZ	1 (+1)
IE	1 (+1)
BF	1 (+1)
PL	1 (+1)
AT	1 (0)
ZA	1 (0)
Total	104 (+52)

- arising geographic bias in funding distribution across countries
- dissemination activities focused on areas of low activity
- it takes time to collect funding requests from researchers



Granted Funding Requests by Publisher

Publisher	Number of (approved) funded requests
NPG/Macmillan	17 (+9)
PLoS	17 (+13)
Frontiers	12 (+7)
Copernicus	9 (+3)
MDPI	8 (+5)
BioMed Central	6 (+2)
Wiley	4 (+1)
BMJ	3 (+2)
Hindawi	3 (+1)
Resilience Alliance	3 (+1)
Ubiquity Press	3 (0)
Cell Press/Elsevier	2 (+2)
IoP	2 (+2)
Berghahn Books	2 (0)

Discussions for entering pre-payment agreements already under way based on these figures

Granted Funding Requests by Journal

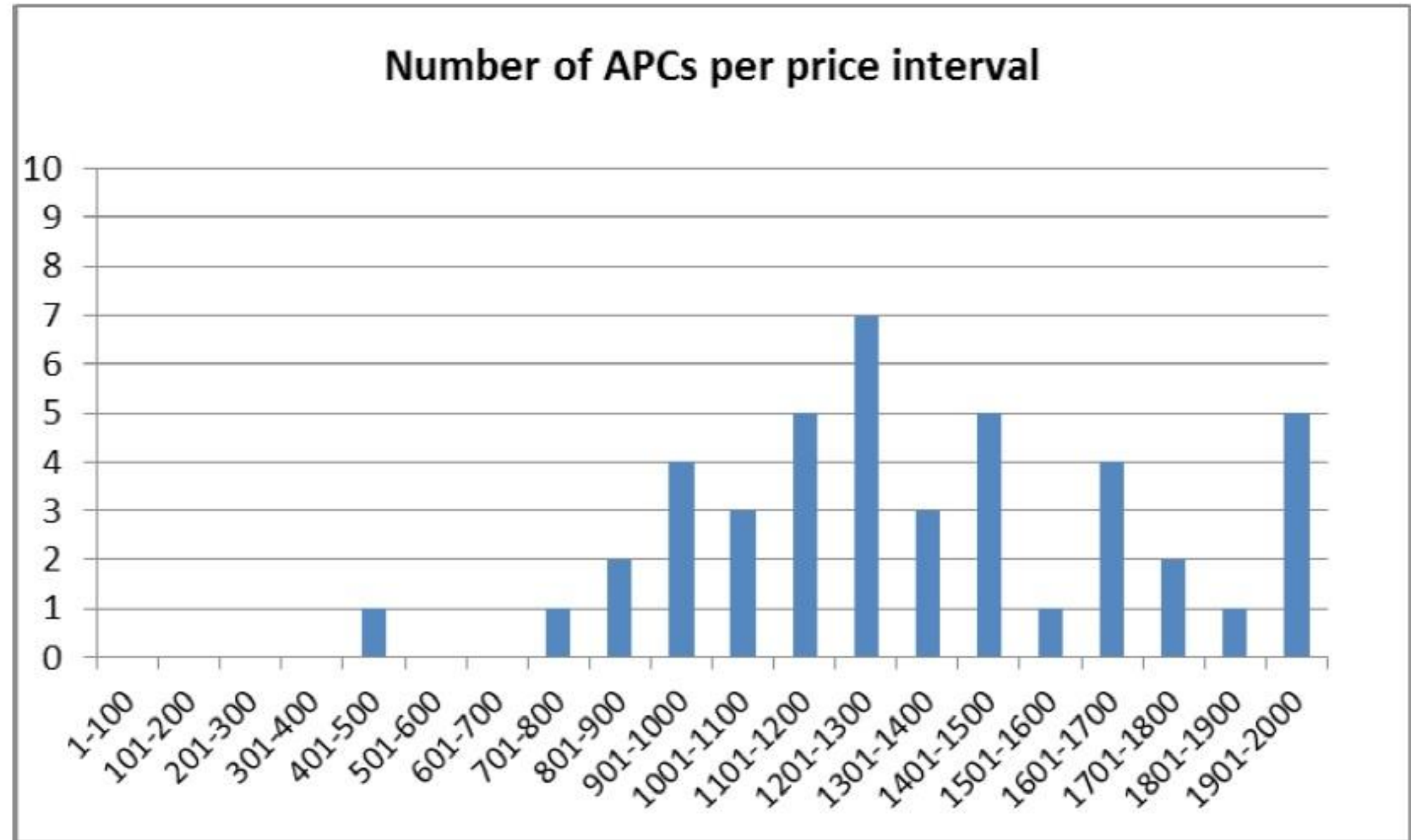
Journal (Publisher)	Number of (approved) funded requests
PLoS ONE (PLoS)	11
Scientific Reports (NPG)	10
Nature Communications (NPG)	5
Frontiers in Microbiology (Frontiers)	4
Sensors (MDPI)	4
Biogeosciences Discussions (Copernicus)	4
Ecology and Society (Resilience Alliance)	3
BMJ Open (BMJ)	3
Cell Reports (Cell Press/Elsevier)	2
PLoS Computational Biology (PLoS)	2
PLoS Medicine (PLoS)	2
Environmental Research Letters (IoP)	2



Average APC Paid So Far

Average APC fee
paid so far (Sep 30th)
€ 1352

Std dv
€ 359





APC-equivalent Funding Mechanism

Instead of APCs, this APC-equivalent funding mechanism for APC-free OA journals will fund *technical improvements in the publishing workflows* at eligible journals.

Two main challenges to tackle:

- Defining the technical and administrative mechanisms for providing the funding
- Making sure eligible researchers will submit their work to these journals



Workshop on the FP7 Post-Grant Open Access Pilot APC-equivalent funding mechanism

Where: KB, The Hague, The Netherlands

When: Dec 11th, 2015, 10:30-15:00

Who: list of potential attendees to be gradually put together (see below). The event will be invitation-only and not too large, as it has a tight schedule which needs to be dealt with in an agile manner. The number of attendees should not exceed 20, and should ideally be 15.

Feedback Sought from NOADS

FP7 Post-Grant OA Pilot's APC-equivalent funding mechanism

Questions for NOADS to help collecting evidence for defining the APC-equivalent funding mechanism

1. Do you think it makes sense to have a flat APC-equivalent funding fee for APC-free Open Access journals for the sake of simplicity, thus disregarding the differences across countries and disciplines? If not, please explain why

2. If not, could you please provide an estimation for a fair APC-equivalent fee in your country? We do not need a heavily-researched answer, but rather a quick one, ideally keeping in mind the specific publishing costs for an APC-free OA journal in your country

Thank you!



www.openaire.eu



@openaire_eu



facebook.com/groups/openaire



linkedin.com/groups/OpenAIRE-3893548



pablo.decastro@kb.nl



<http://orcid.org/0000-0001-6300-1033>